

Attorney Docket No.: 773-013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of  
Yajima et al.

Patent No. 7,133,614

Issued: November 7, 2006

For: OPTICAL MULTIPLE  
TRANSMISSION METHOD...

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**REQUEST FOR CORRECTION OF PATENT UNDER RULE 37 CFR 1.183**

**Mail Stop Petition**

Assistant Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sirs:

Applicant hereby submits this petition pursuant to 37 CFR 1.183 requesting that the requirements of 37 CFR 3.81 be waived, in order to correct Applicant's error, providing incorrect or erroneous assignment data in response to the Notice from the Patent Office dated March 22, 2006, attached hereto as Exhibit 1.

Applicant hereby request that the Assignee name be corrected on Patent No.: 7,133,614 to HITACHI, LTD., JAPAN.

Specifically, the Patent was assigned to Hitachi, Ltd., Japan, however, it is not indicated on the issued Patent. The reel/frame number for the recorded Assignment is 012453/0676. A copy of the Patent Assignment abstract of title is attached as Exhibit 2.

In order to affect this change, Applicant respectfully requests that the requirements of 37 CFR 3.81 be waived pursuant to 37 CFR 1.183. The petition fee under 37 CFR 1.17(h) of \$130 is enclosed herewith. Applicant affirmatively states that the failure to include the correct Assignee name on the PTOL-85 form was inadvertent and done without deceptive intent.

Applicant further requests upon granting of the petition that this file be forwarded to the Certificates of Correction Branch, for issuance of a Certificate of Correction.

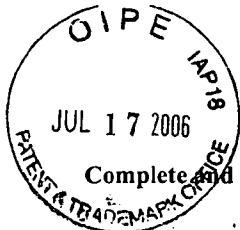
Applicant files this Petition for Correction of a Patent and respectfully requests that the above requested changes be entered and a Certificate of Correction be issued reflecting those changes. Any additional fees may be charged to deposit account No. 19-2825, order No. 773-013.

Respectfully Submitted,

SOFER & HAROUN

By: \_\_\_/Joseph Sofer/\_\_\_\_\_  
Joseph Sofer  
Reg No. 34,438  
317 Madison Avenue  
Suite 910  
New York, NY 10017  
(212) 697-2800

Dated: December 2, 2010



## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or **Fax** (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

7590

03/22/2006

SOFFER &amp; HAROUN, LLP

Suite 910

317 Madison Avenue

New York, NY 10017

07/19/2006 WABDEL3 00000014 192825 09925961

01 FC:1501 1400.00 DA

02 FC:1504 300.00 DA

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Valentina Paparic	(Depositor's name)
<i>[Signature]</i>	(Signature)
7/21/06	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/925,961

08/09/2001

Yusuke Yajima

773-013

4406

TITLE OF INVENTION: OPTICAL MULTIPLE TRANSMISSION METHOD, OPTICAL NETWORK AND OPTICAL TRANSMISSION APPARATUS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional

NO

\$1400

\$300

\$1700

06/22/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
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BELLO, AGUSTIN

2613

398-048000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Sofer & Haroun  
2. \_\_\_\_\_  
3. \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

## 4a. The following fee(s) are enclosed:

☒ Issue Fee☒ Publication Fee (No small entity discount permitted)☐ Advance Order - # of Copies \_\_\_\_\_

## 4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 19-2825 (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature \_\_\_\_\_

Date

Typed or printed name

Joseph Sofer, Esq.

Registration No.

34,438

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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### Patent Assignment Abstract of Title

**NOTE: Results display only for issued patents and published applications.  
For pending or abandoned applications please consult USPTO staff.**

#### Total Assignments: 2

Patent #: [7133614](#)

Issue Dt: 11/07/2006

Application #: 09925961

Filing Dt: 08/09/2001

Publication #: [20020048064](#)

Pub Dt: 04/25/2002

Inventors: Yusuke Yajima, Takashi Mori, Masatoshi Shibasaki

Title: OPTICAL MULTIPLE TRANSMISSION METHOD, OPTICAL NETWORK AND OPTICAL TRANSMISSION APPARATUS

#### Assignment: 1

Reel/Frame: [012453/0676](#)

Recorded: 01/08/2002

Pages: 2

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: [YAJIMA, YUSUKE](#)

Exec Dt: 11/30/2001

[MORI, TAKASHI](#)

Exec Dt: 11/30/2001

[SHIBASAKI, MASATOSHI](#)

Exec Dt: 11/30/2001

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NEW YORK, NEW YORK 10173

#### Assignment: 2

Reel/Frame: [013739/0471](#)

Recorded: 02/10/2003

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: [RAHAMIM, BEUVEN](#)

Exec Dt: 01/23/2003

[BIRCH, DAVID](#)

Exec Dt: 01/23/2003

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Web interface last modified: Apr 30, 2009 v.2.1

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